

72W
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CASE PP/15-22899/CGM 529/PCT

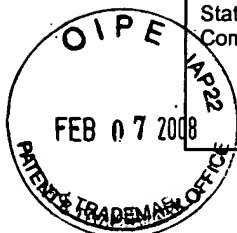
CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dolores DeCarmin
Type of print name

Dolores DeCarmin
Signature

FEB 5 2008
Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT 7,288,585 OF

GRAEME MOAD ET AL

ISSUED: OCTOBER 30, 2007

APPLICATION NO.: 10/561,297

FILED: JUNE 9, 2006

FOR: ACRYLIC DISPERSING AGENTS IN
NANOCOMPOSITES

Mail Stop Petition

Commissioner for Patents
Alexandria, VA 22313-1450

PETITION FOR SUSPENSION OF RULES UNDER 37 CFR § 1.183

Sir:

Patentees recently received a copy of the above-issued patent and discovered that on the title page of the patent under item (73) the Assignee was listed incorrectly. Patentees hereby petition for a suspension of the rules under 37 CFR § 1.183 and hereby request that 37 CFR § 1.323 be waived to permit the correct name of the Assignee to be provided after issuance of the patent. Patentees also attach a copy of their Request for a Certificate of Correction pursuant to 37 CFR § 1.323, dated February 5, 2008 which has been submitted under separate cover to the Decision and Certificate of Correction Branch of the Patent Issue Division.

02/08/2008 HVUONG1 00000068 031935 7288585

01 FC:1464 130.00 DA

The error occurs on the title page of U.S. Patent 7,288,585; specifically, under item (73) as noted above which lists the Assignee of the patent as Ciba Specialty Chemicals Corporation, Tarrytown, NY (US). The correct Assignee should read: **Polymers Australia Pty. Limited, Victoria, Australia**. Enclosed is a copy of the recorded assignment which shows this to be true.

Patentees believe that all requirements for a Petition under 37 CFR § 1.183 have been met and respectfully request that their petition be granted. Please refer any questions to Patentees' undersigned representative at the telephone number of address listed below.

18/8/20

Ciba Corporation
Patent Department
540 White Plains Road
P.O. Box 2005
Tarrytown, NY 10591-9005
(914) 785-2783

- Date: **FEB 5 2008**



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 12, 2006

PTAS

AUG 22 2006



103285005A

JOANN VILLAMIZAR
CIBA SPECIALTY CHEMICALS CORP.
PATENT DEPARTMENT
P.O. BOX 2005, 540 WHITE PLAINS ROAD
TARRYTOWN, NY 10591

Docket Coordinator
Patent Department

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/31/2006

REEL/FRAME: 018095/0897
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: PP/15-22899/CGM 529/PCT

ASSIGNOR:

MOAD, GRAEME

DOC DATE: 02/02/2006

ASSIGNOR:

SIMON, GEORGE PHILIP

DOC DATE: 02/02/2006

ASSIGNOR:

DEAN, KATHERINE MAREE

DOC DATE: 01/31/2006

ASSIGNOR:

LI, GUOXIN

DOC DATE: 01/31/2006

ASSIGNOR:

MAYADUNNE, ROSHAN TYRREL ANTON

DOC DATE: 02/02/2006

ASSIGNOR:

RIZZARDO, EZIO

DOC DATE: 02/02/2006

*Copy sent to Basle - LE5. 5/11/04
9/7/06 DD*

ASSIGNOR:

EVANS, RICHARD ALEXANDER

DOC DATE: 02/02/2006

ASSIGNOR:

WERMTER, HENDRIK

DOC DATE: 11/28/2005

ASSIGNOR:

PFAENDNER, RUDOLF

DOC DATE: 11/29/2005

ASSIGNEE:

POLYMERS AUSTRALIA PTY. LIMITED
32 BUSINESS PARK DRIVE
NOTTING HILL, VICTORIA, AUSTRALIA

A handwritten signature in black ink, appearing to be 'ad' or similar, is written over the assignee's name.

3168

SERIAL NUMBER: 10561297

FILING DATE: 06/09/2006

PATENT NUMBER:

ISSUE DATE:

TITLE: ACRYLIC DISPERSING AGENTS IN NANOCOMPOSITES

ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

08-02-2006

ER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Y Case PP/15-22899/CGM 529/PCT



103285005

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Graeme Moad
2. George Philip Simon
3. Katherine Maree Dean
4. Guoxin Li
5. Roshan Tyrrel Anton Mayadunne
6. Ezio Rizzardo
7. Richard Alexander Evans
8. Hendrik Wermter
9. Rudolf Pfaendner

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date(s): 1., 2., 5., 6. & 7. 2/2/2006;
3. & 4. 1/31/2006; 8. 11/28/2005; 9. 11/29/2005

2. Name and address of receiving party(ies)

Name: **Polymers Australia Pty. Limited**
 Internal Address:
 Street Address: **32 Business Park Drive**
 City: **Notting Hill** State: **Victoria**
 Country: **Australia** ZIP: **3168**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or Patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) **10/561,297**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **JoAnn Villamizar**
Ciba Specialty Chemicals Corp.
 Internal Address: **Patent Department**
P.O. Box 2005
 Street Address: **540 White Plains Road**
 City: **Tarrytown** State: **NY** ZIP: **10591**

08/02/2006 BYTME 00000034 031935 10561297

01 FC-8021

40.00 fee

6. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41).....\$**40.00**

- ☐ Enclosed
☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

03-1935

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Tyler A. Stevenson

Name of Person Signing
 Reg. No. **31,635**

Signature

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to: **2**

ASSIGNMENT

I / We Graeme MOAD, 9 Clarkmont Road, Sassafras, Victoria 3787, Australia
 George Philip SIMON, 1275 Glenhuntly Road, Glenhuntly, Victoria 3163, Australia
 Katherine Maree DEAN, 96 Johnston Street, Collingwood, Victoria 3066, Australia
 Guoxin LI, 17 Rupert Drive, Mulgrave, Victoria 3170, Australia
 Roshan Tyrrel Anton MAYADUNNE, 16 Entally Drive, Wheelers Hill, Victoria 3150, Australia
 Ezio RIZZARDO, 26 Alex Avenue, Wheelers Hill, Victoria 3150, Australia
 Richard Alexander EVANS, 7 Packham Crescent, Glen Waverley, Victoria 3168, Australia
 Hendrik WERMTER, Fehlheimerstrasse 8, 64625 Bensheim, Germany
 Rudolf PFAENDNER, Sackgasse 3, 64668 Rimbach, Germany

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Polymers Australia Pty. Limited, an Australian corporation, of 32 Business Park Drive, Notting Hill, Victoria 3168, Australia**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:


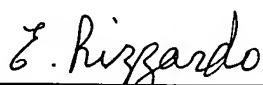



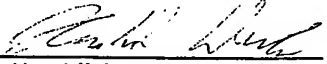
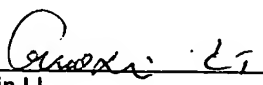

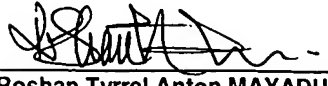
Acrylic dispersing agents in nanocomposites

invented by me/us and described in the international application

No. PCT/EP2004/051098 filed 14 June 2004

and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **Polymers Australia Pty. Limited**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Polymers Australia Pty. Limited**.

 _____ Graeme MOAD	2/2/06 _____ Date	 _____ Ezio RIZZARDO	2/2/06 _____ Date
 _____ George Philip SIMON	2/2/06 _____ Date	 _____ Richard Alexander EVANS	2/2/06 _____ Date
 _____ Katherine Maree DEAN	31/01/06 _____ Date	 _____ Hendrik WERMTER	28/11/05 _____ Date
 _____ Guoxin LI	31/01/06 _____ Date	 _____ Rudolf PFAENDNER	29/11/05 _____ Date
 _____ Roshan Tyrrel Anton MAYADUNNE	02/02/06 _____ Date		

 **COPY**

CASE PP/15-22899/CGM 529/PCT

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dolores DeGarmine
Type or print name

Dolores DeGarmine
Signature

FEB 5 2008
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT 7,288,585 OF

GRAEME MOAD ET AL

ISSUED: OCTOBER 30, 2007

APPLICATION NO.: 10/561,297

FILED: JUNE 9, 2006

FOR: ACRYLIC DISPERSING AGENTS IN
NANOCOMPOSITES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

ATTENTION: Decision and Certificate of Correction
Branch of the Patent Issue Division

REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR § 1.323

Patentees recently received a copy of the above-issued patent and discovered that under item (73) the Assignee was listed incorrectly. A Request for a Certificate of Correction is hereby submitted pursuant to 37 CFR § 1.323.

Patentees aver that the failure to include the correct Assignee's name on **Item 5 of PTOL-85B** was an inadvertent clerical error and made in good faith. Correction thereof does not involve such changes in the patent as would constitute new matter or would require re-examination.

The error occurs on the title page of U.S. Patent 7,288,585; specifically, under Item (73) as noted above which lists the Assignee of the patent as Ciba Specialty Chemicals Corporation, Tarrytown, NY (US). The correct Assignee should read:

Polymers Australia Pty. Limited, Victoria Australia. Enclosed is a copy of the recorded assignment which shows this to be true. **A Petition under 37 CFR § 1.183, dated February 5, 2008, has been submitted under separate cover to the Petitions Office for consideration.** Once the Petition which a copy is attached herewith, has been granted, please issue a certificate of correction as soon as possible.

Attached in duplicate is Form PTO-1050, with at least one copy being suitable for printing.

Please charge Deposit Account No. 03-1935 in the amount of \$100.00 for payment of the fee for the Certificate of Correction as required under 37 CFR § 1.20(a). A duplicate copy of this paper is enclosed. The Commissioner is hereby authorized to charge any additional fees under 37 CFR § 1.20(a) which may be required, or credit any overpayment, to Account No. 03-1935.

Please send the Certificate of Correction to Patentees' undersigned attorney at the address listed below.

Ciba Corporation
Patent Department
540 White Plains Road
P.O. Box 2005
Tarrytown, NY 10591-9005
(914) 785-2783

Respectfully submitted,



Tyler A. Stevenson
Agent for Patentees
Reg. No. 46,388

Enclosures:

- 1) duplicate copy of this letter for charging payment of the \$100.00 fee for the Certificate of Correction as required under 37 CFR § 1.20(a)
- 2) two copies of Form PTO-1050
- 3) copy of the Petition under 37 CFR § 1.183 submitted to the Petitions Office for consideration

Date:

FEB 5 2008

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 7,288,585
DATED: : OCTOBER 30, 2007
INVENTOR(S) : GRAEME MOAD ET AL.

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title page

Item (73)

-- (73) Assignee: **Polymers Australia Pty. Limited**
Victoria, Australia --.

MAILING ADDRESS OF SENDER

Tyler A. Stvenson
Ciba Corporation
Patent Department
540 White Plains Road
P.O. Box 2005
Tarrytown, NY 10591

PATENT NO. 7,288,585
(No. of add'l. (3)